

FACTS WHAT DOES HUNTWICKE SECURITIES DO WITH YOUR PERSONAL INFORMATION?

Why? Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What? The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and investment experience
- Account transactions and account balances
- Retirement assets and employment information.

When you are *no longer* our customer, we continue to share your information as described in this notice.

How? All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Huntwicke Securities chooses to share; and whether you can limit this sharing.

| Reasons we can share your personal information | Does Huntwicke Securities share? | Can you limit this sharing? |
|--|----------------------------------|-----------------------------|
| For our everyday business purposes - Such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus | Yes | No |
| For our marketing purposes – To offer our products and services to you | No | We don't share |
| For joint marketing with other financial companies | No | We don't share |
| For our affiliates' everyday business purposes – Information about your transactions and experiences | No | We don't share |
| For our affiliates' everyday business purposes – Information about your creditworthiness | No | We don't share |
| For our affiliates to market you | No | We don't share |
| For non affiliates to market you | No | We don't share |

Questions? Call 978-887-5981 or go to bwoodland@huntwicke.com

Who we are**Who is providing this notice?**

Huntwicke Securities, LLC

What we do**How does Huntwicke Securities protect my personal information?**

To protect your personal information unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. We take precautions to ensure the information we collect about you is protected and is accessed only by authorized individuals who are trained regarding Huntwicke Securities' privacy and information safeguarding practices.

How does Huntwicke Securities collect my personal information?

We collect your personal information, for example, when you

- Open an account or seek financial advice
- Direct us to sell your securities or direct us to buy securities
- Provide employment information

Why can't I limit all sharing?

Federal law gives you the right to limit only

- Sharing for affiliates' everyday business purposes – information about your creditworthiness
- Affiliates from using your information to market to you
- Sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

Definitions**Affiliates**

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- Essex Private Wealth Management LLC
- Huntwicke Properties

Huntwicke Securities does not share with our affiliates.

Non affiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- Huntwicke Securities does not share with non affiliates so they can market to you.

Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- Huntwicke Securities doesn't jointly market

Other Important Information